

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

- (i) \* Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- \* Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- First Floor, Ashford Centre, Shankarrao  
Naram Marg, Lower Parel (West)  
Mumbai  
Mumbai City  
Maharashtra  
400012
- (c) \*e-mail ID of the company
- (d) \*Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company   | Sub-category of the Company   |
|---------------------|---------------------------|-------------------------------|
| Private Company     | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital  Yes  No
- (vi) \*Whether shares listed on recognized Stock Exchange(s)  Yes  No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	APAC HOUSING FINANCE PRIV	U65999MH2017PTC294524	Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

### (i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	306,000,000	304,350,000	304,350,000	304,350,000
Total amount of equity shares (in Rupees)	3,060,000,000	3,043,500,000	304,350,000	2,593,500,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	306,000,000	304,350,000	304,350,000	304,350,000

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,060,000,000	3,043,500,000	304,350,000	2,593,500,000

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	17,500,000	0	0	0
Total amount of preference shares (in rupees)	175,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	17,500,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	175,000,000	0	0	0

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	181,850,000	122,500,000	304,350,000	2,593,500,000	2,593,500,000	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0

viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				0	0	
NA						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
NA						
<b>At the end of the year</b>	181,850,000	122,500,000	304350000	2,593,500,0	2,593,500,	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
NA						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
NA						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		28/08/2020	
Date of registration of transfer (Date Month Year)		12/02/2021	
Type of transfer	Equity Shares	1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	250,000	Amount per Share/ Debenture/Unit (in Rs.)	22
Ledger Folio of Transferor	12		
Transferor's Name	VECHA		PRAVEEN
	Surname	middle name	first name
Ledger Folio of Transferee	1		

Transferee's Name	CHADHA		GUNIT
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	12/02/2021
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	200,000	Amount per Share/ Debenture/Unit (in Rs.)	22
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Ledger Folio of Transferor	13
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Transferor's Name	SHAH	MOHAN SINGH	JATINDER
	Surname	middle name	first name

Ledger Folio of Transferee	1
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Transferee's Name	CHADHA		GUNIT
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	30/03/2021
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	425,000	Amount per Share/ Debenture/Unit (in Rs.)	26
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Ledger Folio of Transferor	20
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Transferor's Name	BAJAJ		SANJEEV
	Surname	middle name	first name

Ledger Folio of Transferee	22
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Transferee's Name	<input type="text" value="Belapurkar"/>	<input type="text"/>	<input type="text" value="Shashwat"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="30/03/2021"/>
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Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text" value="175,000"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="26"/>
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Ledger Folio of Transferor	<input type="text" value="20"/>
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Transferor's Name	<input type="text" value="BAJAJ"/>	<input type="text"/>	<input type="text" value="SANJEEV"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="23"/>
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Transferee's Name	<input type="text" value="SASTRI"/>	<input type="text"/>	<input type="text" value="SANKAR"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>	<input type="text"/>		<input type="text"/>		<input type="text"/>

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

463,570,105

**(ii) Net worth of the Company**

4,028,053,384

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage



1.	Individual/Hindu Undivided Family				
	(i) Indian	158,700,000	52.14	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	158,700,000	52.14	0	0

**Total number of shareholders (promoters)**

2

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	23,150,000	7.61	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				

	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	11,282,250	3.71	0	
6.	Foreign institutional investors	111,217,750	36.54	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	145,650,000	47.86	0	0

**Total number of shareholders (other than promoters)**

17

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

19

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

1

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
Plenty Private Equity F	1st Floor, 78 Saint Jean Road, Quat		MU	111,217,750	36.54

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	18	17
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	0	1	0	43.84	0
<b>B. Non-Promoter</b>	1	2	1	3	0.39	0.62
(i) Non-Independent	1	2	1	3	0.39	0.62
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>3</b>	<b>44.23</b>	<b>0.62</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

6

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
GUNIT CHADHA	00078184	Managing Director	158,699,999	
SHANKAR JYOTI DEY	00056794	Director	1,000,000	
NITHTA EASWARAN	03605392	Director	0	
SANJAY MALIAH	02491696	Director	1,600,000	
ARJUN ARIKERI	08673104	Additional director	0	
ANAND ASAWA	AFJPA5966K	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	28/08/2020	20	10	95.08

### B. BOARD MEETINGS

\*Number of meetings held

10

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	17/04/2020	4	4	100
2	27/06/2020	4	4	100
3	07/08/2020	4	4	100
4	30/04/2020	5	5	100
5	13/10/2020	5	4	80
6	30/10/2020	5	5	100
7	12/11/2020	5	5	100
8	04/12/2020	5	5	100
9	12/02/2020	5	4	80
10	30/03/2020	5	5	100

### C. COMMITTEE MEETINGS

Number of meetings held

2

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	CORPORATE	29/01/2021	3	3	100
2	BOARD RISK	26/03/2021	3	3	100

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	06/08/2021
								(Y/N/NA)
1	GUNIT CHAD	10	10	100	2	2	100	Yes
2	SHANKAR JY	10	10	100	2	2	100	Yes
3	NITHTA EASV	10	10	100	0	0	0	Yes
4	SANJAY MAL	10	8	80	1	1	100	Yes
5	ARJUN ARIKE	7	7	100	1	1	100	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	GUNIT CHADHA	MANAGING DIF	33,783,099	0	0	1,800,000	35,583,099
	Total		33,783,099	0	0	1,800,000	35,583,099

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	ANAND ASAWA	COMPANY SEC	1,758,493	0	0	0	1,758,493
	Total		1,758,493	0	0	0	1,758,493

Number of other directors whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SHANKAR DEY	DIRECTOR	1,352,268	0	0	0	1,352,268
	Total		1,352,268	0	0	0	1,352,268

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MUKESH SARSWAT

Whether associate or fellow

Associate  Fellow

Certificate of practice number

10856

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**To be digitally signed by**

- Company Secretary
- Company secretary in practice

Membership number  Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

**List of attachments**

Shareholders-MGT\_7 fy 2020-21.pdf  
MGT-8\_APAC Financial Services\_2021.pdf  
Letter to MCA - Clarification.pdf

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**





First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id Account Number	Number of Shares held	Class of Shares
GUNIT		CHADHA	1		15,86,99,999	Equity share
RANO		CHADHA	2			1 Equity share
RANDHIR		SINGH	10		50,00,000	Equity share
SANJAY		SHARMA	4		10,00,000	Equity share
SHALAKA		GADEKAR	3		10,00,000	Equity share
SHANKAR		DEY	9		10,00,000	Equity share
SUMESH		MISHRA	7		17,50,000	Equity share
VISWANATHAN		N	6		20,00,000	Equity share
Multiplies Private Equity Fund II LLP			11	IN303173-20021964	11282250	Equity share
Plenty Private Equity Fund I LTD			11	IN303173-20019282	111217750	Equity share
MADHU	SUDAN	MISRA	14		800000	Equity share
MONICA		ANAND	15		400000	Equity share
PARESH		SUKTHANKAR	16		1000000	Equity share
ROHIT		CHOPRA	17		600000	Equity share
SANJAY		MALIAH	18		1600000	Equity share
SANJAY	K	PATEL	19		400000	Equity share
SHAILA	VIREN	MERCHANT	21		6000000	Equity share
SHASHWAT		BELAPURKAR	22		4,25,000	Equity share
SANKAR		SASTRI	23		1,75,000	Equity share

**Form No. MGT-8**

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

I/ We have examined the registers, records and books and papers of **M/s APAC FINANCIAL SERVICES PRIVATE LIMITED**, CIN: U65999MH2017PTC294664, registered office situated at FIRST FLOOR, ASHFORD CENTRE, SHANKARRAO NARAM MARG, LOWER PAREL (WEST) MUMBAI 400013(The Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on March 31, 2021. In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the company, its officers and agents, I/ we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. Its status under the Act;
  2. Maintenance of registers/records & making entries therein within the time prescribed therefore;
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities are within the prescribed time;
  4. During the year under review Company had held 10 (Ten) Board Meetings for which proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
  5. Closure of Register of Members / Security holders, as the case may be. **Not Applicable**
  6. During the period under review, the company has complied with the provisions of any advances/loans granted to its directors and/or persons or firms or companies referred in section 185 of the Act;
  7. During the period under review, Contracts/arrangements with related parties as specified in section 188 of the Act are made at arm's length.
  8. There were instances regarding transfer of securities during the year under review, there has been transferred of 4,50,000 shares to Mr. Gunit Chadha and 4,25,000 shares to Mr. Shashwat Belapurkar and 1,75,000 shares to Mr. Sankar Sastri;
  9. During the year under review, there are no changes in Authorised Share Capital and Paid up Share Capital;
  10. During the year under review there were no instances relating to issue and allotment of shares;
  11. There were no instances of Declaration /payment of dividend; transfer of unpaid/ unclaimed divided/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;



12. During the period under review, signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof was done accordingly;
13. There was appointment of Mr. Arjun Arikari, additional director of the Company on August 07, 2020 and there were no re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel during the year under review;
14. M/s T R Chadha & Co LLP, Chartered Accountants, Mumbai (Firm Registration No. 006711N/N500028) were appointed as auditor of the Company as per the provisions of section 139 of the Act;
15. During the year under review the company was not required to obtain approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
16. During the period under review, Company has complied with the provisions of the Act & Rules made in respect of borrowing from its banks and others;
17. The Company has neither accepted nor renewed any deposits during the year under review;
18. Since the company is a non-banking financial institution registered with the RBI giving loan, provisions of section 186 of the Companies Act, 2013 related to loan made, guarantees given or securities provided are not applicable to the Company;
19. The Company has not altered the Memorandum of Association and Articles of Association during the year under review;

**Place: Mumbai**

**For: M. K. SARSWAT & ASSOCIATES**

**Date: September 28, 2021**

  
**(MUKESH K SARSWAT)**

**FCS: 9992**

**C.P.No.: 10856**

**UDIN: F009992B001026581**





Date: September 29, 2021

To,  
Ministry of Corporate Affairs  
New Delhi

Dear Sir / Madam,

**Sub: Clarification - % of shareholding not matching in Form MGT-7, FY 2020-21**  
**Ref – APAC Financial Services Pvt Ltd – CIN U65999MH2017PTC294664**

With reference to the above subject, please note that the shareholding pattern under clause VI.a - Share Holding Pattern – Promoters and VI.b Share Holding Pattern - Public/Other than promoters in Form MGT - 7 is not matching as the Company has issued the partly paid shares as under:

Particulars	Partly paid up (Rs.)	No. of Shares issued	Amount in Rs. Paid on partly paid shares as on March 31, 2021
Issued & subscribed partly paid equity shares	1	5,00,00,000	5,00,00,000
<b>Total</b>		<b>5,00,00,000</b>	<b>5,00,00,000</b>

Due to partly paid issuance of equity shares, the % mentioned in the aforesaid clause is not matching. The details are follows:

Sr. No.	Particulars	No. of shares	% shown in the Form MGT - 7	Actual %
1	SHARE HOLDING PATTERN – Promoters - <b>Indian</b>	15,87,00,000	52.14%	43.84%
2	SHARE HOLDING PATTERN - Public/Other than promoters - Individual/Hindu Undivided Family – <b>Indian</b>	2,31,50,000	7.61%	8.93%
	SHARE HOLDING PATTERN - Public/Other than promoters - <b>Financial institutions</b>	1,12,82,250	3.71%	4.35%
	SHARE HOLDING PATTERN - Public/Other than promoters - <b>Foreign institutional investors</b>	11,12,17,750	36.54%	42.88%
	Total		<b>100%</b>	<b>100%</b>

Request you to please take the same on record.

Kind regards,

**For APAC Financial Services Private Limited**

**Anand Asawa**  
**Company Secretary**  
**ACS - 23299**

**APAC Financial Services Private Limited**

First Floor, Ashford Centre, Shankar Rao Naram Marg, Lower Parel (W), Mumbai-400013  
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