

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U65999MH2017PTC294664

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAPCA5386H

(ii) (a) Name of the company

APAC FINANCIAL SERVICES PRI

(b) Registered office address

First Floor, Ashford Centre, Shankarrao
Naram Marg, Lower Parel (West)
Mumbai
Mumbai City
Maharashtra
400012

(c) *e-mail ID of the company

anand.asawa@apacfin.com

(d) *Telephone number with STD code

02266668169

(e) Website

www.apacfin.com

(iii) Date of Incorporation

04/05/2017

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	APAC HOUSING FINANCE PRIV	U65999MH2017PTC294524	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	306,000,000	304,350,000	304,350,000	304,350,000
Total amount of equity shares (in Rupees)	3,060,000,000	3,043,500,000	3,043,500,000	3,043,500,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	306,000,000	304,350,000	304,350,000	304,350,000

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	3,060,000,000	3,043,500,000	3,043,500,000	3,043,500,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	17,500,000	0	0	0
Total amount of preference shares (in rupees)	175,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	17,500,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	175,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	181,425,000	122,925,000	304,350,000	2,593,500,000	2,593,500,000	
Increase during the year	0	0	0	450,000,000	450,000,000	0
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0

viii. Conversion of Debentures	0	0	0	0	0	
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				450,000,000	450,000,000	
Receipt of Rs. 9 per share on 5 Crs Partly paid shares						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	
ii. Shares forfeited	0	0	0	0	0	
iii. Reduction of share capital	0	0	0	0	0	
iv. Others, specify						
At the end of the year	181,425,000	122,925,000	304350000	3,043,500,000	3,043,500,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		06/08/2021	
Date of registration of transfer (Date Month Year)		01/12/2021	
Type of transfer	Equity Shares	1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	583,333	Amount per Share/ Debenture/Unit (in Rs.)	12
Ledger Folio of Transferor	7		
Transferor's Name	SUMESH		MISHRA
	Surname	middle name	first name
Ledger Folio of Transferee	1		

Transferee's Name	<input type="text" value="GUNIT"/>	<input type="text"/>	<input type="text" value="CHADHA"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text" value="06/08/2021"/>
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Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text" value="333,333"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="12"/>
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Ledger Folio of Transferor	<input type="text" value="3"/>
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Transferor's Name	<input type="text" value="SHALAKA"/>	<input type="text"/>	<input type="text" value="GADEKAR"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text" value="1"/>
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Transferee's Name	<input type="text" value="GUNIT"/>	<input type="text"/>	<input type="text" value="CHADHA"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

729,004,092

(ii) Net worth of the Company

4,529,510,281

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	159,616,666	52.45	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	159,616,666	52.45	0	0

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	22,233,334	7.31	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				

	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	11,282,250	3.71	0	
6.	Foreign institutional investors	111,217,750	36.54	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	144,733,334	47.56	0	0

Total number of shareholders (other than promoters)

17

**Total number of shareholders (Promoters+Public/
Other than promoters)**

19

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

1

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
Plenty Private Equity F	Suite 318, 3rd Floor, N G Tower, Eb		MU	111,217,750	36.54

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	17	17
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	0	1	0	52.45	0
B. Non-Promoter	1	3	1	4	0.33	0.53
(i) Non-Independent	1	3	1	2	0.33	0.53
(ii) Independent	0	0	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	3	2	4	52.78	0.53

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
GUNIT CHADHA	00078184	Managing Director	159,616,665	
SHANKAR JYOTI DEY	00056794	Director	1,000,000	
NITHTA EASWARAN	03605392	Director	0	
SANJAY MALIAH	02491696	Director	1,600,000	
SANJAY ARVIND ATHA	07650678	Additional director	0	
NEERAJ BHUSHAN BH	09342931	Additional director	0	
SHANKAR JYOTI DEY	ADAPD5703C	CFO	1,000,000	
ANAND VISHWANATH	AFJPA5966K	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
ARJUN ARIKERI	08673104	Additional director	06/08/2021	Change in Designation
ARJUN ARIKERI	08673104	Director	22/03/2022	Cessation
SHANKAR JYOTI DEY	ADAPD5703C	CFO	03/03/2022	Appointment
SANJAY ARVIND ATHA	07650678	Additional director	15/02/2022	Appointment
NEERAJ BHUSHAN BH	09342931	Additional director	15/02/2022	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	06/08/2021	19	11	96.29
EXTRA ORDINARY GENERAL MEETING	23/12/2021	19	6	93.88

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	22/06/2021	5	4	80
2	19/08/2021	5	4	80
3	01/12/2021	5	4	80
4	16/12/2021	5	5	100
5	15/02/2022	5	4	80
6	03/03/2022	7	6	85.71

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	CORPORATE	28/03/2022	3	3	100
2	RISK MANAGI	29/03/2022	2	2	100
3	AUDIT COMM	03/03/2022	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	26/07/2022
								(Y/N/NA)
1	GUNIT CHAD	6	6	100	2	2	100	Yes
2	SHANKAR JY	6	6	100	2	2	100	Yes
3	NITHTA EASV	6	6	100	1	1	100	No
4	SANJAY MAL	6	2	33.33	1	1	100	Yes
5	SANJAY ARV	1	1	100	1	1	100	Yes
6	NEERAJ BHU	1	1	100	1	1	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	GUNIT CHADHA	MANAGING DIF	41,412,004	0	0	0	41,412,004
2	SHANKAR JYOTI D	DIRECTOR	1,305,552	0	0	0	1,305,552
	Total		42,717,556	0	0	0	42,717,556

Number of CEO, CFO and Company secretary whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ANAND VISHWANATHAN	COMPANY SECRETARY	2,250,635	0	0	0	2,250,635
	Total		2,250,635	0	0	0	2,250,635

Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SANJAY ARVIND A	ADDITIONAL IN	0	0	0	90,000	90,000
2	NEERAJ BHUSHAN	ADDITIONAL IN	0	0	0	90,000	90,000
	Total		0	0	0	180,000	180,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

MUKESH SARSWAT

Whether associate or fellow

Associate Fellow

Certificate of practice number

10856

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

5

dated

16/06/2022

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Gunit
Chadha

Digitally signed
by Gunit Chadha
Date: 2022.08.01
17:52:16 +05'30'

DIN of the director

00078184

To be digitally signed by

ANAND
VISHWANA
TH ASAWA

Digitally signed by
ANAND VISHWANATH
ASAWA
Date: 2022.08.01
17:53:03 +05'30'

Company Secretary

Company secretary in practice

Membership number

23299

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

MGT-8_APAC Financial Services_2022.pdf Shareholders-MGT_7 FY 2021-22.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

First Name	Middle Name	Last Name	Folio Number	DP ID-Client Id Account Number	Number of Shares held	Class of Shares
GUNIT		CHADHA	1		159,616,665	Equity share
RANO		CHADHA	2		1	Equity share
RANDHIR		SINGH	10		5,000,000	Equity share
SANJAY		SHARMA	4		1,000,000	Equity share
SHALAKA		GADEKAR	3		666,667	Equity share
SHANKAR		DEY	9		1,000,000	Equity share
SUMESH		MISHRA	7		1,166,667	Equity share
VISWANATHAN		N	6		2,000,000	Equity share
Multiples Private Equity Fund II LLP			11	IN303173-20021964	11282250	Equity share
Plenty Private Equity Fund I LTD			11	IN303173-20019282	111217750	Equity share
MADHU	SUDAN	MISRA	14		800000	Equity share
MONICA		ANAND	15		400000	Equity share
PARESH		SUKTHANKAR	16		1000000	Equity share
ROHIT		CHOPRA	17		600000	Equity share
SANJAY		MALIAH	18		1600000	Equity share
SANJAY	K	PATEL	19		400000	Equity share
SHAILA	VIREN	MERCHANT	21		6000000	Equity share
SHASHWAT		BELAPURKAR	22	IN301549-10294488	425,000	Equity share
SANKAR		SASTRI	23		175,000	Equity share

For APAC Financial Services Private Limited

A. V. Asawa
Anand Asawa
Company Secretary
ACS-23299

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

I/ We have examined the registers, records and books and papers of **M/s APAC FINANCIAL SERVICES PRIVATE LIMITED**, CIN: U65999MH2017PTC294664, registered office situated at FIRST FLOOR, ASHFORD CENTRE, SHANKARRAO NARAM MARG, LOWER PAREL (WEST) MUMBAI 400013(The Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on March 31, 2022. In my/ our opinion and to the best of my information and according to the examinations carried out by me/ us and explanations furnished to me/ us by the Company, its officers and agents, I/ we certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

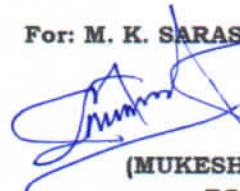
B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act;
2. Maintenance of registers/records & making entries therein within the time prescribed therefore;
3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities are within the prescribed time;
4. During the year under review Company had held 6 (Six) Board Meetings for which proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. Closure of Register of Members / Security holders, as the case may be. **Not Applicable**
6. During the period under review, the Company has complied with the provisions of advances/loans granted to its directors and/or persons or firms or companies referred in section 185 of the Act;
7. During the period under review, Contracts/arrangements with related parties as specified in section 188 of the Act are made at arm's length.
8. There were instances regarding transfer of securities during the year under review, there has been transferred of 5,83,333 shares from Mr. Sumesh Mishra to Mr. Gunit Chadha and 3,33,333 shares to from Mrs. Shalaka Gadekar to Mr. Gunit Chadha;
9. During the year under review, there are no changes in Authorised Share Capital but partly paid up shares were converted into fully paid shares;
10. During the year under review there were no instances relating to issue and allotment of shares;
11. There were no instances of Declaration /payment of dividend; transfer of unpaid/ unclaimed divided/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;



12. During the period under review, signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof was done accordingly;
13. During the year under review, Mr. Arjun Arikari, who was appointed as additional director of the Company on August 07, 2020, is appointed as Director of the Company on 06th August, 2021 and he has resigned from the Directorship w.e.f. 22nd March, 2022, Mr. Shankar Jyoti Dey appointed as CFO of the Company w.e.f. 03rd March, 2022, Mr. Sanjay Arvind Athalye and Mr. Neeraj Bhushan Bhai appointed as an additional directors of the Company w.e.f. 15th February, 2022.
14. M/s Borkar & Muzumdar, Chartered Accountants, Mumbai (Firm Registration No. 101569W) were appointment as auditor of the Company as per the provisions of section 139 of the Act;
15. During the year under review, the Company was not required to obtain approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
16. During the period under review, Company has complied with the provisions of the Act & Rules made in respect of borrowing from its banks and others;
17. The Company has neither accepted nor renewed any deposits during the year under review;
18. Since the Company is a non-banking financial institution registered with the RBI giving loan, provisions of section 186 of the Companies Act, 2013 related to loan made, guarantees given or securities provided are not applicable to the Company;
19. The Company has not altered the Memorandum of Association and Articles of Association during the year under review;

For: M. K. SARASWAT & ASSOCIATES



(MUKESH SARASWAT)

FCS: 9992

C.P. No.: 10856

UDIN: F009992D000718128

Date: August 01st, 2022

Place: Mumbai

